

# Dr. Yun-Chia Anderson Yan

The University of Texas Rio Grande Valley  
School of Accountancy  
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## EDUCATION

PhD, Florida International University, 2007  
Major: Business Administration  
Dissertation Title: Associations between SOX 404 Opinions and Auditors, Audit Committees, and Executives

## EMPLOYMENT

Associate Professor, The University of Texas Rio Grande Valley (September 2016)

Assistant Professor, The University of Texas at Brownsville and Texas Southmost College (August 15, 2013 - August 31, 2016)

## PUBLICATIONS

### Journal Articles

Robert Parker, Mai Dao, Hua-Wei Huang, and Yun-Chia Yan. Disclosing Material Weakness in Internal Controls: Does the Gender of Audit Committee Members Matter?. *Asia-Pacific Journal of Accounting and Economics*. 24, no. 3-4 (2017): 407-420.

Li-Chuan Chou, Ta-Cheng Chang, John Darcy, and Yun-Chia Yan. The Impact of Social Responsibility on Corporate Performance: Evidence from Taiwan. *Accounting and Finance Research*. 6, no. 2 (April (2nd Quarter/Spring) 2017): 181-189.

Joseph Beams, Yun-Chia Yan, Wachira Boonyanet, and Pongprot Chatraphorn. The Effect of CEO and CFO Resignations on Going Concern Opinions. *Accounting and Finance Research*. 5, no. 4 (October (4th Quarter/Autumn) 2016): 76-88.

Joseph Beams and Yun-Chia Yan. The Effect of Financial Crisis on Auditor Conservatism: US Evidence. *Accounting Research Journal*. 28, no. 2 (June 2015): 160 - 171.

Hua-Wei Huang, Robert Parker, Yun-Chia Yan, and Yi-Hung Lin. CEO Turnover and Audit Pricing. *Accounting Horizons* . 28, no. 2 (June 2014): 297–312.

Ta-Cheng Chang, Yun-Chia Yan, and Li-Chuan Chou. Is default probability associated with corporate social responsibility?. *Asia-Pacific Journal of Accounting and Economics*. 20, no. 4 (December 2013): 457-472.

Joseph Beams, Hua-Wei Huang, and Yun-Chia Yan. Top Management Resignation and Firm's Subsequent Bankruptcy. *Accounting and The Public Interest* . 13, (December 2013): 39–54.

Abhijit Barua and Yun-Chia Yan. Appointment of New Executives and Subsequent SOX 404 Opinion. *Journal of Accounting and Finance*. 11, no. 2 (2011): 78-90.

Hua-Wei Huang, Yun-Chia Yan, James Fornaro, and Ahmed Elshahat. Market reactions of female audit committee director appointments: Evidence from US-traded foreign firms. *International Journal of Banking and Finance*. 8, no. 1 (2011): 59-75.

Clark Wheatley and Yun-Chia Yan. New Executives and Audit Fees. *Journal of Forensic & Investigative Accounting*. 3, no. 2 (2011): 227-244.

Ronald Young, Hua-Wei Huang, and Yun-Chia Yan. Economic Consequences of the Sarbanes–Oxley Act on E-commerce Firms. *Issues in Information Systems*. XI, no. 1 (2010)

Yun-Chia Yan, Ronald Young, and Hua-Wei Huang. Economic Consequences of the Sarbanes–Oxley Act on E-commerce Firms. *Issues in Information Systems*. 11, no. 1 (2010): 377-383.

Abhijit Barua, Clark Wheatley, and Yun-Chia Yan. Internal Control Opinions and Auditor Resignations. *Journal of Forensic & Investigative Accounting*. 2, no. 2 (2010)

Yun-Chia Yan, Hua-Wei Huang, and Hsiao-Wen Huang. Effect of Management Change on R&D/IA and Stock Return in IT Companies. *Issues in Information Systems*. 9, no. 2 (2008): 518-522.

Yun-Chia Yan, David Manry, and Dao Mai. The Association between Audit Fees and CEO Age. *Advances in Quantitative Analysis of Finance & Accounting*.

## **AWARDS AND HONORS**

Emerging Scholar Best Paper Award, American Accounting Association Public Interest Section (August 2009)

## **PRESENTATIONS**

Yun-Chia Yan, Robert Parker, and Mai Dao. "Client Business Risk and Audit Pricing: Evidence from Executive Turnovers and Restructurings," 2021 AAA Annual Meeting, American Accounting Association, (August 2021)

Yun-Chia Yan, David Manry, and Haiyan Zhou. "CEO Age and the Likelihood of Financial Statement Fraud Litigation," 2020 AAA Annual Meeting, American Accounting Association, (August 11, 2020)

Yun-Chia Yan, David Manry, and Dao Mai. "CEO Age, Audit Fees, and the Disclosure of Internal Control Material Weakness," 2018 AAA Forensic Accounting Section Research Conference, American Accounting Association, Dallas, TX. (May 2018)

Yun-Chia Yan, Hua-Wei Huang, Dao Thi Tuyet Mai, and Robert Parker. "Disclosing Material Weakness in Internal Controls: Does the Gender of Audit Committee Members Matter?," 2015 Annual Meeting of the American Accounting Association, American Accounting Association, Chicago, IL. (August 2015)

Yun-Chia Yan, Hua-Wei Huang, and David Manry. "Securities Fraud Litigation and the Disclosure of Internal Control Material Weakness," 2014 AAA Forensic and Investigative Accounting Section Research Conference, American Accounting Association, San Antonio, TX. (April 2014)

Yun-Chia Yan and Joseph Beams. "CEO/CFO Resignations and Going Concern Opinions," 2012

Annual Meeting of the American Accounting Association, American Accounting Association, Washington, D.C. . (August 2012)

Yun-Chia Yan, Hua-Wei Huang, and Robert Parker. "Types of CEO Turnover and Audit Pricing," 2012 Annual Meeting of the American Accounting Association, American Accounting Association, Washington, D.C. . (August 2012)

Yun-Chia Yan, Yen-Tzu Chen, Hua-Wei Huang, and Hsiao-Wen Huang . "Knowledge Management, Education and Firm's Performance," 2011 IEEE International Conference on Fuzzy Systems, IEEE , Taipei, Taiwan. (June 2011)

Yun-Chia Yan, Joseph Beams, and Hua-Wei Huang . "CEO Resignation and Subsequent Firm's Bankruptcies," 2010 American Accounting Association Forensic and Investigative Accounting Section Research Conference, American Accounting Association, Baton Rouge,LA. (May 2010)

Yun-Chia Yan and Hua-Wei Huang. "Audit Committee Gender Diversity and the Quality of Internal Controls," 2010 American Accounting Association Southeast Region Meeting, American Accounting Association, Mobile, Alabama. (April 2010)

Yun-Chia Yan, Joseph Beams, and Hua-Wei Huang . "CEO Resignation and Subsequent Firm's Bankruptcies," 2010 American Accounting Association Southeast Region Meeting, American Accounting Association, Mobile, Alabama. (April 2010)

Yun-Chia Yan, Hua-Wei Huang , and Barua A. "SOX 404 Opinions and Audit Committees," 2009 American Accounting Association Annual Meeting, American Accounting Association, New York City. (August 2009)

Yun-Chia Yan and Barua A. "Appointment of New Executives and Subsequent SOX 404 Opinion," 2009 American Accounting Association Southeast Regional Meeting, American Accounting Association, Oxford, Mississippi. (April 2009)

Yun-Chia Yan, Hua-Wei Huang , and Barua A. "SOX 404 Opinions and Audit Committees," 2009 American Accounting Association Southeast Regional Meeting, American Accounting Association, Oxford, Mississippi. (April 2009)

Yun-Chia Yan and Barua A. "Appointment of New Executives and Subsequent SOX 404 Opinion," 2009 American Accounting Association Public Interest Section Mid-Year meeting, American Accounting Association, Charleston, South Carolina. (March 2009)

Yun-Chia Yan, Hua-Wei Huang , and Barua A. "SOX 404 Opinions and Audit Committees," 2009 American Accounting Association Public Interest Section Mid-Year meeting, American Accounting Association, Charleston, South Carolina. (March 2009)

Yun-Chia Yan, Hua-Wei Huang, and Che-Hung Liu . "The Impact of Sarbanes-Oxley Act on For-Profit and Not-For-Profit Healthcare Organizations," 2006 American Accounting Association Government and Nonprofit Section Mid-Year Meeting, American Accounting Association, Miami, Florida. (March 2006)

## **TEACHING**

### **Courses Taught**

Accounting Information Systems

Accounting for Managers

Accounting for Managers

Accounting for Managers  
Acct & Fin Analysis  
Auditing  
Cost Acc & Mgt  
Intermediate Accounting I  
Intro to Financial Acc  
Intro to Manag Acc  
Principles of Accounting II

### **Directed Student Learning**

Master's Thesis Committee Member. Issack Guyo, "Tax Evasion and IRS Audit Rate Probability -Empirical Evidence from RGV Region" (April 2021)

Master's Thesis Committee Member. Daniella Rodriguez, "The Impact of the Affordable Care Act (ACA) on the Investment Behavior of Healthcare Firms" (April 2019)

### **SERVICE**

#### **Department Service**

Committee Member, SOA Assurances of Learning Committee. (September 2020 - August 2021)  
Committee Member, SOA Personnel Committee. (September 2020 - August 2021)  
Committee Chair, SOA Professor of Practice Search Committee . (September 2020 - August 2021)  
Committee Member, SOA Tenure and Promotion Standards Task Force. (August 2020 - August 2021)  
Committee Member, Assurances of Learning Committee. (September 2019 - August 2020)  
Committee Member, Personnel Committee. (September 2019 - August 2020)  
Committee Member, Tenure and Promotion Standards Task Force. (September 2019 - August 2020)  
Committee Member, Tenure-track faculty Search Committee. (September 2019 - August 2020)  
Committee Chair, Strategic Planning and Initiatives Committee. (September 2019 - December 2019)  
Committee Member, Assurances of Learning Committee. (September 2018 - August 2019)  
Committee Member, Personnel Committee. (September 2018 - August 2019)  
Committee Member, Assurances of Learning Committee. (September 2017 - August 2018)  
Committee Member, Personnel Committee. (September 2017 - August 2018)  
Other, SOA Content Alignment Task Force - ACCT 3321 . (September 2017 - December 2017)  
Committee Member, Assurances of Learning Committee. (September 2016 - August 2017)  
Committee Member, Personnel Committee. (September 2016 - August 2017)

Committee Member, T-TT Performance Evaluation Committee. (January 2017 - May 2017)  
Committee Member, Accounting Faculty Recruiting Committee. (September 2015 - August 2016)  
Committee Member, Assurances of Learning Committee. (September 2015 - August 2016)  
Committee Chair, Graduate Curriculum Review Committee. (September 2015 - December 2015)  
Committee Member, Accounting Faculty Recruiting Committee. (September 2014 - August 2015)

### **College Service**

Committee Member, COBE Mission and Strategic Plan Committee. (September 2019 - August 2020)  
Committee Member, DBA program accounting concentration curriculum committee. (September 2019 - August 2020)  
Committee Member, COBE Mission and Strategic Plan Committee. (September 2018 - August 2019)  
Committee Member, COBE Mission and Strategic Plan Committee. (September 2017 - August 2018)  
Committee Member, MBA Committee. (September 2017 - August 2018)  
Other, MBA committee. (September 2017 - May 2018)  
Committee Member, COBE Mission and Strategic Plan Committee. (September 2016 - August 2017)  
Committee Member, MBA Committee. (September 2016 - August 2017)  
Committee Member, COBE Key Policies Task Group. (September 2015 - August 2016)  
Committee Member, COBE Mission and Strategic Plan Committee. (September 2015 - August 2016)  
Committee Member, MBA Committee. (September 2015 - August 2016)  
Committee Member, PhD Committee. (September 2015 - August 2016)  
Committee Member, MBA Committee. (February 2015 - August 2015)  
Committee Member, PhD Committee. (February 2015 - August 2015)

### **Development Activities Attended**

Workshop, "Teaching Large Online Workshop," Center for Online Learning & Teaching Technology. (October 12, 2018 - December 20, 2019)

### **Professional Service**

Reviewer, Journal Article , International Journal of Finance & Economics (April 2018)  
Reviewer, Journal Article , Asian Review of Accounting (September 2017)  
Reviewer, Journal Article , Asia Pacific Management Review (February 2014)  
Reviewer, Conference Paper , American Accounting Association ( 2010)

Discussant , Asian Review of Accounting 2018 International Accounting Symposium , Taipei . (June 22, 2018 - June 23, 2018)

Other , American Accounting Association Southwest Regional Meeting (2010 - 2010)

### **Professional Memberships**

American Accounting Association ( 2008)

International Association for Computer Information Systems (2008 - 2009)