NSF Proposal Submission and Project Report Update

Research.gov Webinar Series

March 2013



Ask Early, Ask Often



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Today's Agenda



- * Research.gov Introduction and Background
- ★ NSF Proposal Compliance Update
- * Research Performance Progress Report Overview
- ★ Discover More





Researchers can do business with NSF through a suite of services





Government-wide grantees

• Find and apply for NSF grants



NSF Grantees

• Find and apply for NSF grants



Research Grantees

- Support the research community with a modern technology platform
- Submit financial and project reports

Research.gov is the modernization of FastLane, providing the next generation of grants management capabilities for the research community

Research.gov offers grants management services...



- ★ Access Services
 - InCommon
 - Single Sign-on with FastLane
- ★ Reporting Services
 - Project Outcomes Report
 - Annual, Final, and Interim Reports (Coming March 18, 2013)
- ★ Financial Services
 - The Federal Financial Report
 - Financial Services
 - Award Cash Management \$ervice (Coming April 2013)
- ★ Application Services
 - Grants Application Status
 - Application Submission Web Services



...and public facing services



- ★ Research Spending & Results
- ★ Policy Library
- **★**SEE Innovation
- ★ Research Headlines & Events







Proposals Not Accepted



- ★ Beginning March 18, 2013, FastLane will begin automated compliance checking all required sections of full proposals. FastLane will not allow submission of full proposals missing GPG-required sections.
- ★ Formally recognizes a new category of non-award decisions and transactions: Proposal Not Accepted
- ★ Is defined as "FastLane will not permit submission of the proposal"
- *This new category currently applies to:
 - Project Summaries
 - Data Management Plans
 - Postdoctoral Mentoring Plans

GPG-Required Sections of the Proposal



- Project Summary *
- ★ Project Description
- References Cited
- ★ Biographical Sketch(es)
- * Budget
- ★ Budget Justification
- Current and Pending Support
- * Facilities, Equipment & Other Resources
- Supplementary Documentation
 - Data Management Plan *
 - Postdoctoral Mentoring Plan (where applicable) *

^{*} These proposal sections are already being auto-compliance checked.

What FastLane Will / Will Not Check



- ★ FastLane will check for the presence of GPG required sections of the proposal
- ★ If a section is not included, FastLane will not permit submission of the proposal
- ★ FastLane will not check:
 - Formatting
 - Page Length (except for Project Summary)
 - Content

Auto-Compliance Checking



- Biographical Sketches & Current and Pending Support
 - FastLane permits the biosketch and current and pending support sections to be uploaded as a single PDF for the PI, co-PI and senior project personnel.
 - For FastLane to accept the proposal, proposers must insert text or upload a document that states, "Not Applicable" for any co-PI or senior person

Auto-Compliance Checking



- ★ Proposal submission instructions that deviate from the GPG will require special attention:
 - Conferences, symposia or workshops
 - International travel grants
 - Program solicitations, where applicable
- ★ If solicitation submission instructions do not require one of the sections, proposers will need to insert text or upload a document in that section of the proposal that states, "Not Applicable"
 - This will enable FastLane to accept the proposal

Separately Submitted Collaborative Proposals Research

Lead Organization: Required Sections

- Project Summary
- Project Description
- References Cited
- Biographical Sketch(es)
- Budget
- Budget Justification
- Current and Pending Support
- Facilities, Equipment and Other Resources
- Supplementary Documentation
 - Data Management Plan
 - Postdoctoral Mentoring Plan (if applicable)

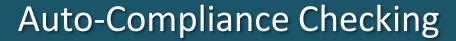
Non-Lead Organization: Required Sections

- Biographical Sketch(es)
- Budget
- Budget Justification
- Current and Pending Support
- Facilities, Equipment and Other Resources

Auto-Compliance Checking



- Principal Investigators (PI)
 - Will receive warning messages if any of the GPG required sections are missing
 - Will be able to forward proposal to their institution's SPO
- Sponsored Projects Office (SPO)
 - FastLane will prevent submission from the SPO if any of the GPG-required sections is missing





- Automated compliance checking will not be applied to:
 - **Preliminary Proposals**
 - **Supplemental Funding Requests**

What is the impact on Grants.gov proposals?

- ★ The NSF Grants.gov Application Guide specifies the same general content requirements for proposal submission.
- Grants.gov may allow a proposal to be submitted even if one of the required sections is missing.
- ★ If a section is missing, a proposal may be returned without review.





RPPR Background



- ★ The Research Performance Progress Report (RPPR) is the result of a government-wide effort to create greater consistency in the administration of federal research awards by streamlining and standardizing reporting formats
 - The RPPR is the product of Research Business Models (RBM)
 Subcommittee of the Committee on Science (CoS), a committee of the National Science and Technology Council (NSTC)
 - Upon implementation, the RPPR will be used by federal agencies that support research and research-related activities.
 - NSF has led research agencies in the development of an RPPR data dictionary based upon the OMB RPPR approved policy

NSF Implementation



- ★ NSF will offer a new project reporting service on Research.gov which implements the RPPR format, replacing NSF's annual, final, and interim project reporting capabilities in the FastLane System
 - One of the key drivers in development of the project reporting service is to improve the user experience
 - Another key driver is to incorporate more structured collection of the project reports data for enhanced NSF use
 - NSF has led research agencies in the development of an RPPR data dictionary based upon the OMB RPPR approved policy

RPPR Website

http://www.nsf.gov/bfa/dias/policy/rppr/index.jsp



Research Performance Progress Report (RPPR)

The RPPR resulted from an initiative of the Research Business Models (RBM) Subcommittee of the Committee on Science (CoS), a committee of the National Science and Technology Council (NSTC). One of the RBM Subcommittee's priority areas is to create greater consistency in the administration of Federal research awards. Given the increasing complexity of interdisciplinary and interagency research, it is important for Federal agencies to manage awards in a similar fashion. Upon implementation, the RPPR will be used by agencies that support research and research-related activities for use in submission of interim progress reports. It is intended to replace other interim performance reporting formats currently in use by agencies. The RPPR does not change the performance reporting requirements specified in 2 CFR part 215 (OMB Circular A-110) and the Common Rule implementing OMB Circular A-102.

Each category in the RPPR is a separate reporting component. Agencies will direct recipients to report on the one mandatory component ("Accomplishments"), and also may direct them to report on optional components, as appropriate. Within a particular component, agencies may direct recipients to complete only specific questions, as not all questions within a given component may be relevant to all agencies. Agencies may develop an agency- or program-specific component, if necessary, to meet programmatic requirements, although agencies should minimize the degree to which they supplement the standard components. Such agency- or program specific requirements will require review and clearance by OMB. Agencies also may use other OMB approved reporting formats, such as the Performance Progress Report (PPR), if those formats are better suited to the agency's reporting requirements, for example, for research centers/institutes, clinical trials, or fellowship/training awards or in connection to reporting on program performance.

OSTP/OMB Policy Letter

Agency RPPR Implementation Plans

DHHS/NIH (and Other PHS Agencies)
January 2012 update
DHS
DOC
DOD
DOE
DOE
DoEd/Institute of Education Sciences
DOJ
Office of Justice Programs
National Institute of Justice/Office of Justice Programs
EPA

Report Components



- ★ Mandatory Category:
 - Accomplishments: What was done? What was learned?
- ★ Optional Categories:
 - Products: What has the project produced?
 - Participants & Other Collaborating Organizations: Who has been involved?
 - Impact: What is the impact of the project? How has it contributed?
 - Changes/Problems
 - Special Reporting Requirements (where applicable)
 - Appendix 1: Demographic Information for Significant Contributors

Key Differences of the New Project Report System



- ★ Project reporting dashboard
- ★ Structured collection of data
- * Rich text editor
- ★ PDF upload to support images, charts, and other complex graphics
- ★ Improved citation search through Thomson Web of Science
- Special reporting requirements are controlled by solicitation
- ★ PI no longer provides demographic information on significant participants

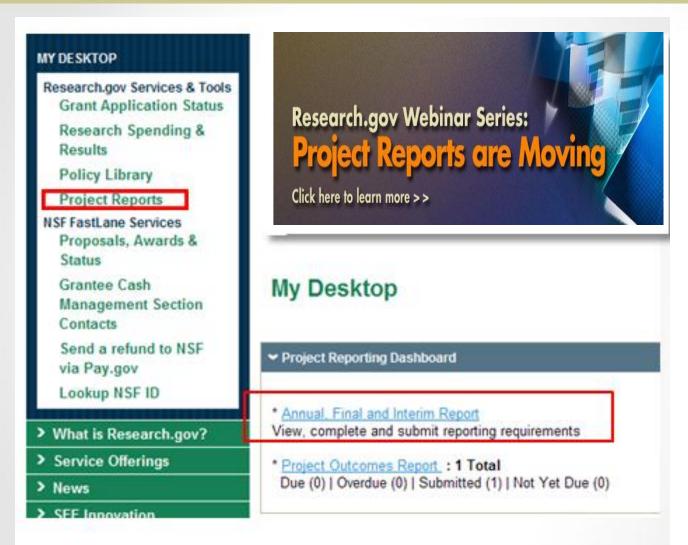
Key Implementation Dates



- ★ Project Report Pilot currently underway with 19 research organizations submitting project reports on Research.gov
- ★ Final Target Launch Date: March 18, 2013
 - All NSF awards and institutions
 - ★ NSF awardees must stop submitting project reports in FastLane starting on February 1, 2013
 - Program Officers must approve all submitted reports by March 15,
 5 PM EST



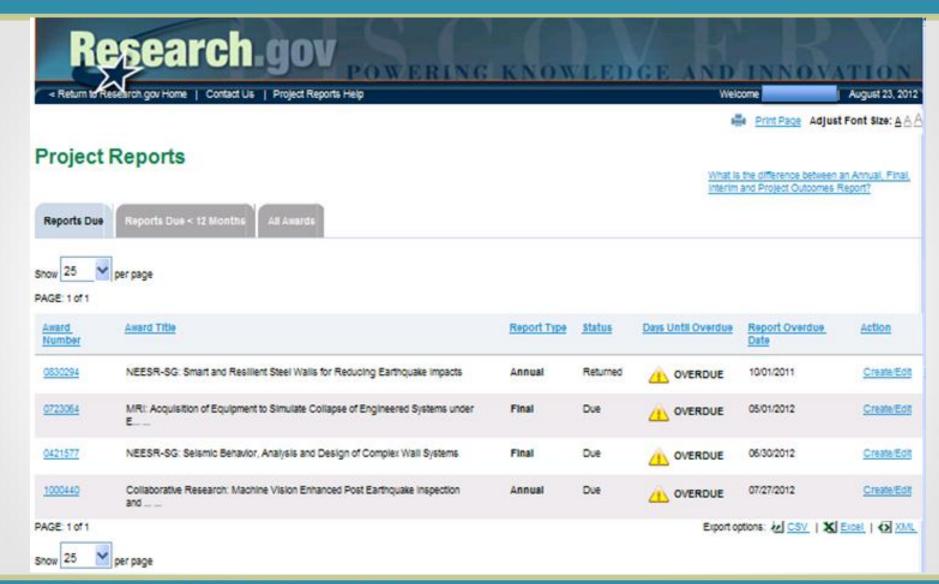




- ★ Login with FastLane User ID and password
- Access Project Report Dashboard or navigation

Annual, Final, and Interim Project Reports: PI View





Project Report Entry: Pl View





Project Report: Pl View Search Products/Journals



Cover	complishments Products Participants Imp
Products - What has the project produced? < Back to Publication Entries	
Search Publications:	
Enter search criteria to search Thomson Reuters Web of Science (R) to required 'Author' field) will help to speed up your search.	
* Required fields	
Type:	Journal
* Author(s):	
Title:	
Journal:	
Conference:	
Time Span:	Select One
	Search
< Back to Publication Entries	

★ Products:

- Publications
- Technologies or techniques
- Inventions, patent applications, and/or licenses
- Websites
- Other Products
- ★ Add a publication citation from Thomson Web of Science

Project Report: Pl View Upload PDF File

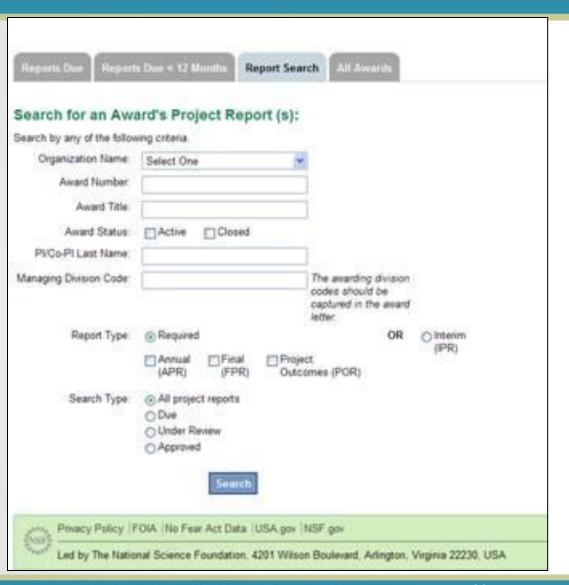


Supporting Files	
You may upload pdf files with images, tables, charts, or other graphic MB each.	
Browse Please select a file.	
Description (required if uploading a file)	
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Upload File	
Back to the top	

- ★ PDF files can be uploaded for:
 - Accomplishments
 - Products
 - Special Requirements

Project Report: SPO View Search Project Reports





- SPOs can search for a list of reports by:
 - Organization Name
 - Award Number
 - Award Title
 - Award Status
 - PI/co-PI Last Name
 - Managing Division
 - Report Type
 - Search Type





How Can I Get More Information?



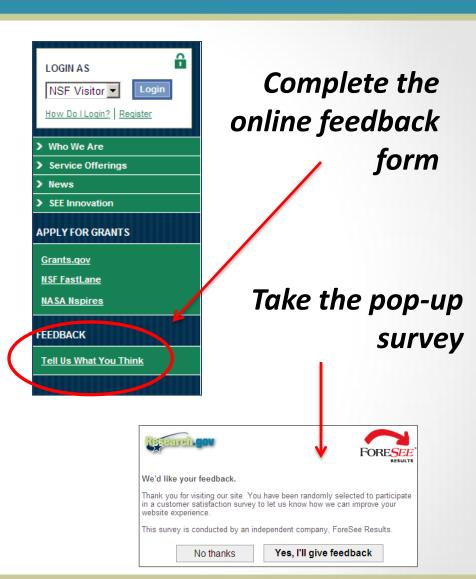
- ★ Research.gov Events (conference participations and webinars listed)
 - Research.gov Events Page
- **★ Research.gov Website:** Project Report Info Page

- ★ Research.gov Help Desk
 - Rgov@nsf.gov or 1-800-381-1532

Provide feedback on Research.gov



- Your feedback will help Research.gov prioritize and enhance services
 - Take our ForeSee pop-up survey
 - Complete online feedback form
 - Questions or comments?
 Email us at feedback@research.gov



Questions





Appendix: Project Report Pilot Phase I Organizations



- Appalachian State University
- College of Charleston
- Cornell University
- Hampton University
- Indiana University
- Refactored Materials

Appendix: Project Report Pilot Phase 2 Organizations



- Arizona State University
- Illinois Institute of Technology
- Ohio StateUniversity
- University of Georgia
 Research Foundation
 Inc
- SyracuseUniversity
- University of California-Irvine
- University of California-Los
 Angeles

- Georgia Southern University
- Georgia Southern University
 Research Foundation
- Northern Illinois University
- University of Illinois at Chicago
- University of Maine Machias
- University of Illinois at Urbana-Champaign